

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
YOR920020352US1

In Re Application Of: **Bowen Alpern, et al.**

Serial No.
10/620,078

Filing Date
July 15, 2003

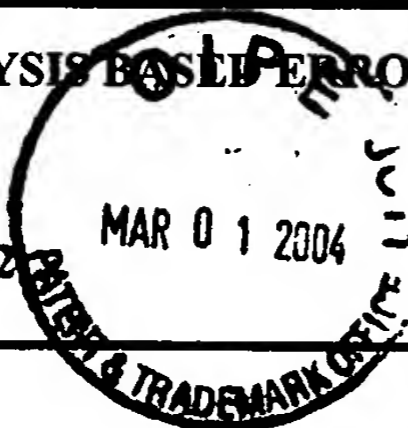
Examiner
Unknown

Group Art Unit
2121

Title: **STATIC ANALYSIS BASED ERROR REDUCTION FOR SOFTWARE APPLICATIONS**

Confirmation No.: 7092

MAR 01 2004



Address to:

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P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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ANALYSIS BASED ERROR REDUCTION FOR SOFTWARE APPLICATIONS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0510/IBM** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
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Steven Fischman

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Dated: **February 26, 2004**

Steven Fischman

Registration No. 34,594

Correspondence Address

Customer No. 23389

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bowen Alpern, et al.

Examiner: Unknown

Serial No.: 10/620,078

Art Unit: 2121

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Docket: YOR920020352US1 (16088)

For: STATIC ANALYSIS BASED ERROR
REDUCTION FOR SOFTWARE
APPLICATIONS

Date: February 26, 2004

Confirmation No.: 7092

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

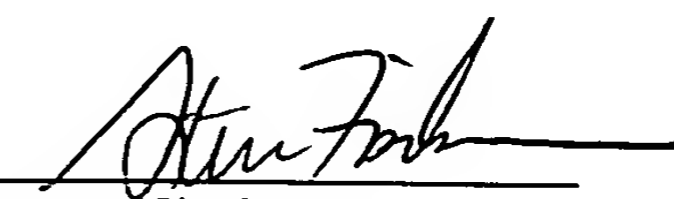
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following disclosures received from the Japanese Patent Office, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. D. Reimer, K. Srinivas, H. Srinivasan, B. Alpern, R. Johnson, A. Kershenbaum, L. Koved, "SABER: Smart Analysis Based Error Reduction", IBM Research, Hawthorne, New York;
2. T. Ball and S. K. Rajamani, "The SLAM project: debugging system software via static analysis", In Proc. of the 29th POPL, January 2002, 1-3;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450 on February 26, 2004

Dated: February 26, 2004


Steven Fischman

3. W. R. Bush, J.D. Pincus, and D. J. Sielaff, "A static analyzer for finding dynamic programming errors", Software Practice and Experience, 30 (7), June 2000, 775-802;
4. H. Chen and D. Wagner, "MOPS: an infrastructure for examining security properties of software", In Proc. of the Ninth ACM Conference on Computer and Communications Security, (Washington, DC, November 2002), 235-244;
5. J.D. Choi, M. Gupta, M.J. Serrano, V.C. Sreedhar, S. Midkiff, "Escape Analysis For Java", In Proc. of OOPSLA, October 1999, 1-19;
6. J. Corbett, M.Dwyer, J. Hatcliff, C. Pasareanu, Robby, S. Laubach, H. Zheng, "Bandera: Extractive Finite-state Models from Java Source Code", In Proc. of ICSE, June 2000;
7. D. L. Detlefs, "An overview of the extended static checking system", SIGSOFT Proceedings of the First Workshop on Formal Methods in Software Practice, January 1996, 1-9;
8. M. Emami, R. Ghiya, and L. Hendren, "Context-sensitive interprocedural point-to analysis in the presence of function pointers", In Proc. of PLDI, June 1994, 242-257;
9. D. Engler, B. Chelf, A. Chou and S. Hallem, "Checking System Rules Using System-Specific, Programmer-Written Compiler Extensions", In Proc. of SOSR, October 2000, 1-16;
10. D. Evans, "Static Detection of Dynamic Memory Errors", In Proc. of PLDI, May 1996, 44-53;
11. S. Hallem, B. Chelf, Y. Xie and D. Engler, "A System and Language for Building System-Specific, Static Analyses", In Proc. of PLDI, June 2002, 69-82;
12. D. Hovemeyer and W. Pugh, "Finding Bugs is Easy", <http://www.cs.umd.edu/~pugh/java/bugs/docs/findbugsPaper.pdf>;
13. D. Jackson, "Aspect: Detecting bugs with abstract dependencies", ACM Trans. on Software Engineering and Methodology, 4 (2), April 1995, 109-145;
14. "JavaTM 2 Platform, Standard Edition, v 1.4.2 API Specification", <http://java.sun.com/j2sec/1.4.2/docs/api/index.html>;
15. L. Koved, "JABA-Java Bytecode Analysis", <http://www.research.ibm.com/javasec/JaBA.html>;
16. L. Koved, M. Pistoia, and A. Kershenbaum, "Access rights analysis for Java", In Proc. of OOPSLA, Nov 2002;

17. "Eclipse platform technical overview", Object Technology International, Inc.
July 2001, <http://www.eclipse.org/whitepapers/eclipse-overview.pdf>;

18. "Automatic Java[TM] software and component testing: using Jtest to
automate unit testing and coding standard enforcement", Parasoft Corporation,
<http://www.parasoft.com/jsp/products/article.jsp?articleId=839&product=Jtest>; and

19. J. Viega, J.T. Block, T. Kohno, G. McGraw, "ITS4: A Static Vulnerability
Scanner for C and C++ Code", In Proc. of the 16th Annual Computer Security Applications
Conference, December 2000, 257-269.

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in
accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is
required.

Respectfully submitted,

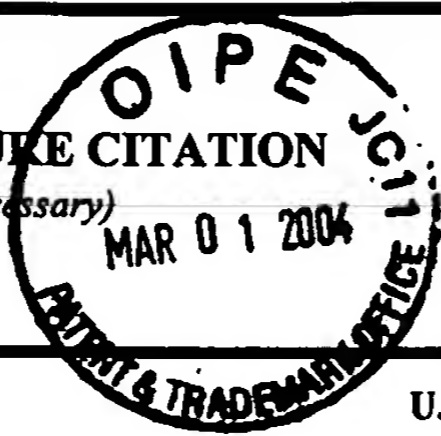


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INFORMATION DISCLOSURE CITATION

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Applicant(s)

Bowen Alpern, et al.

Filing Date

July 15, 2003

Group Art Unit

2121

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

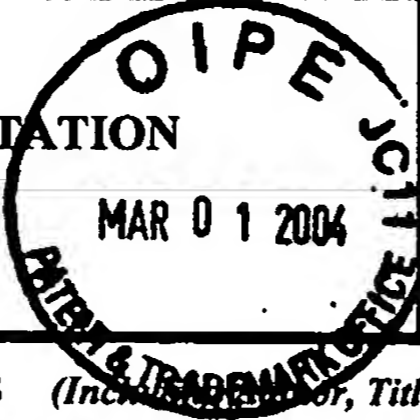
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		D. Reimer, K. Srinivas, H. Srinivasan, B. Alpern, R. Johnson, A. Kershenbaum, L. Koved, "SABER: Smart Analysis Based Error Reduction", IBM Research, Hawthorne, New York
		T. Ball and S. K. Rajamani, "The SLAM project: debugging system software via static analysis", In Proc. of the 29th POPL, January 2002, 1-3

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


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Applicant(s) Bowen Alpern, et al			Filing Date July 15, 2003	Group Art Unit 2121

*EXAMINER INITIAL	OTHER DOCUMENTS (Include Reference, Title, Date, Pertinent Pages, Etc.)
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	M. Emami, R. Ghiya, and L. Hendren, "Context-sensitive interprocedural point-to analysis in the presence of function pointers", In Proc. of PLDI, June 1994, 242-257
	D. Engler, B. Chelf, A. Chou and S. Hallem, "Checking System Rules Using System-Specific, Programmer-Written Compiler Extensions", In Proc. of SOSP, October 2000, 1-16
	D. Evans, "Static Detection of Dynamic Memory Errors", In Proc. of PLDI, May 1996, 44-53
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	D. Hovemeyer and W. Pugh, "Finding Bugs is Easy", http://www.cs.umd.edu/~pugh/java/bugs/docs/findbugsPaper.pdf
	D. Jackson, "Aspect: Detecting bugs with abstract dependencies", ACM Trans. on Software Engineering and Methodology, 4 (2), April 1995, 109-145
	"JavaTM 2 Platform, Standard Edition, v 1.4.2 API Specification", http://java.sun.com/j2sec/1.4.2/docs/api/index.html

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OTHER DOCUMENTS

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L. Koved, "JABA-Java Bytecode Analysis", <http://www.research.ibm.com/javasec/JaBA.html>

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"Automatic Java[™] software and component testing: using Jtest to automate unit testing and coding standard enforcement", Parasoft Corporation, <http://www.parasoft.com/jsp/products/article.jsp?articleId=839&product=Jtest>

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